

May 02, 2021

To,

Listing Department BSE Limited Phiroze Jeejeebhoy Towers Dalal Street - Mumbai- 400001 Scrip Code: 540212	Listing Department National Stock Exchange of India Ltd., Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E) Mumbai – 400 051 Scrip Symbol: TCIEXP
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Sub: Annual Secretarial Compliance Report for the year ended March 31, 2021

Dear Sir/Madam,

Pursuant to Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019, we submit herewith the Secretarial Compliance Report for the year ended March 31, 2021, issued by M/s. Sanjeev Bhatia & Associates., Company Secretaries.

This is for your information, records and meeting the compliance requirements as per Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. Hope you shall find the same in order.

Thanking you,

Yours Faithfully,
For TCI Express Limited



PRIYANKA
Company Secretary & Compliance Officer



Encl: As Above

TCI EXPRESS LIMITED

(CIN: L62200TG2008PLC061781)

Corporate Office: TCI House, Plot No. 69, Sector -32, Institutional Area, Gurugram-122001, India

Tel: +91-124 - 238-4090-94, Email: secretarial@tciexpress.in,

Regd. Off: Flat Nos.306 & 307, 1-8-273, Third Floor, Ashoka Bhoopal Chambers, S.P. Road, Secunderabad-500003

Tel: +91-40 -27840104, Website: www.tciexpress.in



Ref.....

Date :.....

ANNUAL SECRETARIAL COMPLIANCE REPORT FOR THE FINANCIAL YEAR ENDED MARCH 31, 2021

[Pursuant to Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015]

To,
The Board of Directors,
TCI Express Limited
TCI House, Plot No. 69,
Sector -32, Institutional Area, Gurugram-122001

Subject: Annual Secretarial Compliance Report for the financial year ended on March 31, 2021

I Sanjeev Bhatia, a Practicing Company Secretary, have examined:

- a) all the documents and records made available to me and explanation provided by **TCI Express Limited** ('listed entity');
- b) the filings/ submissions made by the listed entity to the stock exchanges;
- c) website of the listed entity; and
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification;

And for the financial year 2020-21 ('Review Period') in respect of compliance with the provisions of:

- a) Securities and Exchange Board of India Act, 1992 ('SEBI Act') and the Regulations, circulars, guidelines issued thereunder; and
- b) Securities Contracts (Regulation) Act, 1956 ('SCRA'), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ('SEBI')

The specific Regulations as amended from time to time, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- f) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- g) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- h) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- i) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- j) Securities and Exchange Board of India (Depositories and Participant) Regulations, 2018;

And based on the above examination, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, However in the absence of transaction relating to buyback of securities, issue of Non- Convertible and Redeemable Preference Shares and listed Debt securities during the review period, the compliance of the relevant regulations mentioned above do not arise.
- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- c) No action was taken against the listed entity and/or its Promoters/Directors either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder. The Company does not have any material subsidiary as on March 31, 2021.
- d) No observation was made in the previous report for the financial year ended on March 31, 2020.
- e) I, further report the listed entity has re-appointed Statutory Auditor of the Company at its Annual General Meeting held on July 24, 2020 and duly complied with the terms of re-appointment as prescribed by the SEBI vide its Circular No.CIR/CFD/CMD1/114/2019 dated October 18, 2019.

For Sanjeev Bhatia & Associates
Company Secretaries

SANJEEV
KUMAR
BHATIA

Digitally signed by SANJEEV KUMAR BHATIA
DN: c=IN, o=Personal, ou=7623,
postalCode=122001, postalCode=122001,
serialNumber=7337970275649736543738844,
emailAddress=7337970275649736543738844,
cn=SANJEEV KUMAR BHATIA
Date: 2021.05.01 11:57:50 +05'30'

(Sanjeev Bhatia)
Proprietor

Place: Gurugram
Date: 01.05.2021

C.P. No. 3870
UDIN: F005214C000221331

